



# Are Randomized Control Trial Outcomes Influenced by the Inclusion of a Placebo Group?: A Systematic Review of Nonsteroidal Antiinflammatory Drug Trials for Arthritis Treatment

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**ABSTRACT.** Placebo groups are often included in randomized control trials evaluating drug therapy, yet we know little about the placebo effect. The purpose of our study was to evaluate how the presence of a placebo group in a randomized control trial (RCT) influences the patients' ratings of the efficacy of an active drug therapy and their reporting of its adverse effects. We identified studies published between 1966 and 1994 using MEDLINE. Randomized control trials evaluating acetylsalicylic acid, diclofenac, or indomethacin for the treatment of osteo or rheumatoid arthritis were included in our sample. Two investigators independently extracted data. Fifty-eight treatment arms met our inclusion criteria and were available for analysis. Twenty-five treatment arms evaluated a nonsteroidal antiinflammatory drug (NSAID) in placebo control trials and 33 in comparative trials. Using a logistic regression model to adjust for the differences between the evaluated drugs and between the types of arthritis, we found that patients receiving an NSAID in a placebo control trial were more likely to withdraw due to inefficacy (OR = 1.3; 95% CI, 1.0 to 1.6; P = 0.04). Using a similar model, withdrawals due to adverse effects were found to be more common when the NSAID was given in trials that did not include a placebo group (OR = 1.5; 95% CI, 1.1 to 1.9; P = 0.002) as were reports of cutaneous (OR = 4.2; 95% CI, 1.7 to 9.9), gastrointestinal (OR = 1.6; 95% CI, 1.3 to 2.0), and other types (OR = 5.3; 95% CI, 3.8 to 7.4) of adverse effects. Although reports of central nervous system adverse effects were more frequent in the comparative trials, this difference was not significant. Including a placebo group in a RCT changes how patients rate the efficacy and adverse effects of their therapy. Our results highlight the need to consider the placebo effect in the design and analyses of clinical trials. J CLIN EPIDEMIOL 52;2:113–122, 1999. © 1999 Elsevier Science Inc.

**KEY WORDS.** Randomized control trials, placebo therapy, arthritis, NSAIDs, systematic review, study design

## INTRODUCTION

Randomized control trials (RCTs) are considered the “gold standard” for evaluating a drug therapy. In the United States, the Food and Drug Administration often requires the inclusion of a placebo control group in RCTs designed to approve new drugs for the treatment of conditions of moderate severity such as arthritis [1]. Despite obvious in-

terest in how placebos work in clinical trials, scientific understanding of the placebo has changed little over the past 50 years.

A series of recent review articles illustrates our ongoing intrigue with the placebo. Topics of discussion include the difficulty in defining a placebo [2], how to use the placebo in the health care setting [3], speculation on how placebos may work in a variety of settings that include clinical trials [4–7], and the role of the placebo in complementary medicine [8], general practice [9], psychiatry [10], and surgery [11]. Relatively few original investigations, however, have evaluated the factors that determine how patients with medical conditions respond to a placebo therapy [12–15],

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the role that physicians' expectations play in making a patient believe that they are receiving an effective therapy [16,17], or how study design factors may influence the effectiveness of a placebo [18–27].

We wanted to evaluate factors related to the study design that may influence outcomes of a RCT. Of particular interest was the influence of including a placebo therapy in a clinical trial on patient expectations of the effectiveness of active therapy and their reporting of adverse drug effects. We asked whether patients evaluate the efficacy and toxicity of a drug therapy differently in the setting of a placebo control trial as opposed to the same drug therapy administered in a comparative drug trial. We hypothesized that in a placebo control trial, a drug therapy would be rated as “less efficacious” and “less toxic” because the patients would know that there is up to a 50% chance that they are receiving an inert therapy, which should not improve symptoms or have adverse effects. Conversely, we hypothesized that patients participating in a comparative drug trial would know that they are receiving some form of an active therapy and thus rate the therapy as being both more effective and more toxic.

Meaningful study of the placebo effect requires that the symptoms of the condition being evaluated be of variable intensity [28]. We therefore chose to study patients with arthritis because of the fluctuating and subjective nature of the symptoms, particularly pain, associated with this condition [15]. To answer these questions, we evaluated published trials to determine the difference in the reporting of efficacy and adverse effects by patients with arthritis receiving the same nonsteroidal antiinflammatory drug (NSAID) either in a placebo or a comparative trial.

## METHODS

### *Study Identification and Selection*

To obtain our sample, we conducted a systematic review using a previously described MEDLINE search strategy to identify NSAID trials published between 1966 and May 1990 [29,30]. To identify articles published between 1990 and the end of 1994, the following MEDLINE search strategy was used: explode *antiinflammatory agents*, *nonsteroidal* or *NSAID* text word; limit to human, English, and adult; limit to clinical trial or randomized controlled trial; explode research design; explode *osteoarthritis*, explode *arthritis rheumatoid*.

We selected only those trials in which patients with osteo or rheumatoid arthritis were randomly allocated (word random in text) to receive an NSAID. Studies were excluded if they were meta-analyses, if the patients did not receive an oral preparation of an NSAID, if the duration of therapy was 4 days or less, if efficacy outcomes or toxicity measures were not the major focus of the article (e.g., studies evaluating cytoprotection with misoprostol), if the study

represented a duplicate publication, or if the patients were not adults.

We divided the trials into two groups according to whether the NSAID was evaluated against a placebo arm or not as outlines schematically in Figure 1. Of the 41 trials in the NSAID versus Placebo group, we identified those that included the three most frequently evaluated NSAIDs and provided efficacy information for further evaluation. These NSAIDs were acetylsalicylic acid ( $n = 8$  trials), diclofenac ( $n = 6$  trials), and indomethacin ( $n = 9$  trials). Some trials analyzed more than one of the three identified drugs or studied different formulations of the same drug. We therefore used treatment arms rather than trials as the unit of observation. The NSAID versus Placebo sample consisted of 25 treatment arms (Table 1). Similarly, for the NSAID versus Comparative Drug group, we identified the subset of trials that compared either acetylsalicylic acid, diclofenac, or indomethacin to another drug but not to a placebo. The NSAID versus Comparative Drug sample consisted of 33 treatment arms (Table 2). The raw data used for the analyses are included in Appendix 1.

### *Data Abstraction*

Data on each trial were collected in duplicate by two reviewers to ensure accuracy. Discrepancies in data collection were resolved in a consensus meeting. Because an article may contain more than one trial, each trial was reviewed separately. Basic study design information collected included the type of study (placebo control or comparative drug), the drug being evaluated, the number of patients randomized in each treatment arm, the year the trial was published, the duration of the trial, and whether patients with previous NSAID-related adverse effect were ineligible for the trial. Basic patient information collected included diagnosis (osteo or rheumatoid arthritis), the gender distribution, and mean age.

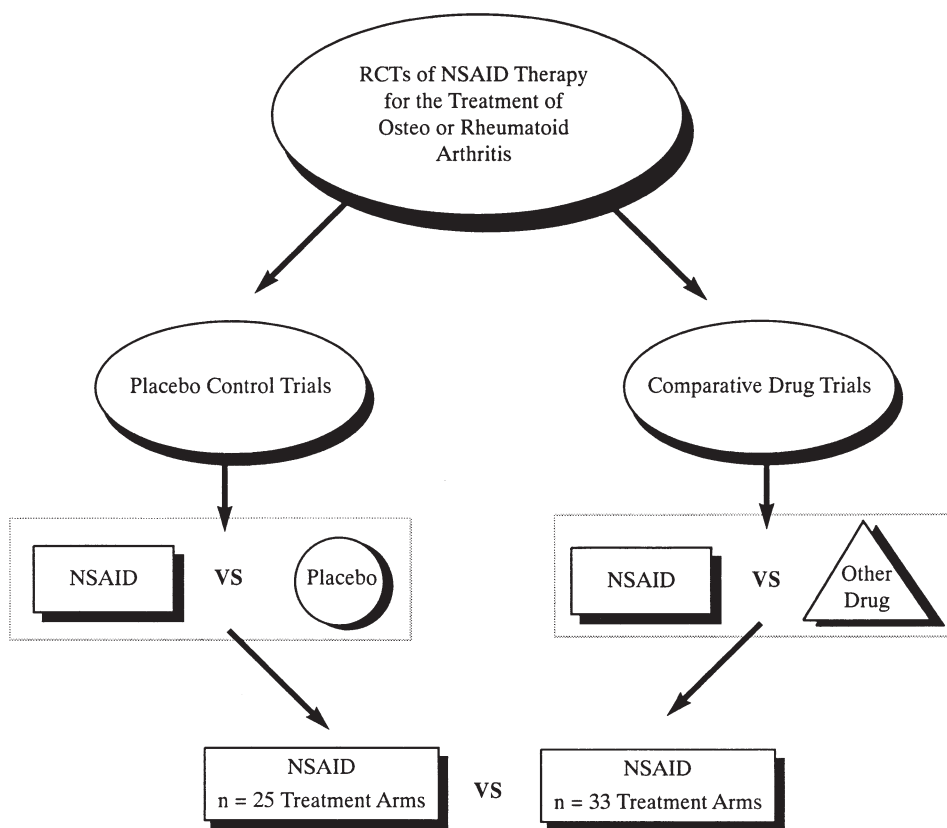
### *Efficacy*

Efficacy was assessed by measuring the number of patients who withdrew from each trial for the sole reason that their treatment was not working. Studies that did not specify the number of patients who were randomized to each treatment group or the number of patients who withdrew due to inefficacy were excluded from this assessment.

### *Adverse Effects*

Adverse effects were assessed by two methods. First, we recorded the total number of patients who withdrew from trials as a result of an adverse drug effect. Only studies that specifically stated that patients withdrew as a result of drug toxicity were included. Second, we recorded the numbers and types of adverse events reported by patients that were

FIGURE 1. Schematic outline of the study design. This flow chart outlines the study design. All NSAID treatment arms evaluating ASA, diclofenac, or indomethacin were selected from both placebo and comparative drug trials. From placebo control trials, 25 NSAID treatment arms were available, and from comparative trials 33 treatment arms were available for comparison. The NSAID treatment arms obtained from each of these type of trials evaluated for efficacy and adverse effects.



not necessarily severe enough to lead to a withdrawal from the trial. Specifically, the number of patients reporting adverse effects in the cutaneous, central nervous system, gastrointestinal, or other systems were tabulated. Withdrawals due to adverse effects and the reporting of adverse effects by system were not necessarily mutually exclusive. For example, it may have been reported that a patient withdrew from

TABLE 1. NSAID versus placebo sample selection

| Description   | n   |
|---|-----|
| Osteo or rheumatoid arthritis   | 577 |
| Exclusions  |     |
| No placebo group in parallel design   | 450 |
| No NSAID comparison group   | 34  |
| Other (nonrandom allocation [word random required in text] [12], nonoral therapy [4], efficacy outcome not major focus [24], duplicate publications [7], nonadult population [1]) | 48  |
| Not drug of interest  | 22  |
| Trials remaining  | 23  |
| Treatment arms <sup>a</sup>   | 28  |
| Treatment arms remaining <sup>b</sup>   | 25  |

<sup>a</sup>Treatment arms were used as the unit of observation because some trials analyzed more than one of the three drugs of interest or different formulations of the same drug.

<sup>b</sup>Three treatment arms were excluded because they did not provide the necessary data (numbers of patients randomized or reports of withdrawals/adverse effects) required to calculate the odds ratio.

a trial due to an adverse effect and also reported that an adverse effect in a particular system occurred. Generally, details concerning adverse effects were poorly reported.

Four treatment arms reported a total of 13 patients who withdrew for reasons of both lack of efficacy and adverse effects. These patients were not included in our analyses.

TABLE 2. NSAID versus comparative drug sample selection

| Description   | n   |
|---|-----|
| Osteo or rheumatoid arthritis   | 577 |
| Exclusions  |     |
| Not a comparative trial with parallel design  | 262 |
| Not drug of interest  | 243 |
| Other (nonrandom allocation [word random required in text] [9], meta-analysis [7], nonoral therapy [2], duration of therapy 4 days or less [1], efficacy outcome not major focus [16], duplicate publications [2], nonadult population [1]) | 38  |
| Trials remaining  | 34  |
| Treatment arms <sup>a</sup>   | 38  |
| Treatment arms remaining <sup>b</sup>   | 33  |

<sup>a</sup>Treatment arms were used as the unit of observation because some trials analyzed more than one of the three drugs of interest or different formulations of the same drug.

<sup>b</sup>Five treatment arms were excluded because they did not provide the necessary data (numbers of patients randomized or reports of withdrawals/adverse effects) required to calculate the odds ratio.

### Statistical Analyses

Differences in continuous variables between NSAID treatment arms in a placebo setting and those in a comparative drug setting were evaluated using both weighted (by sample size) and nonweighted *t* tests. We used weighted (by sample size) and unweighted Pearson  $\chi^2$  tests to examine differences in categorical variables between NSAID treatment arms in a placebo setting and those in a comparative drug setting. The Wilcoxon rank sum statistic was used to evaluate the difference between the median years of publication of these two groups. Calculations were performed with SAS [31].

Multiple logistic regression analysis was used, adjusting for differences in characteristics of the treatment arms and type of patients participating, to evaluate the independent effect of the study design (i.e., placebo versus comparative drug setting) on withdrawals due to inefficacy. The following variables were included in the models: type of study design (placebo or comparative), the NSAID evaluated (acetylsalicylic acid, diclofenac, or indomethacin), and the type of arthritis being studied (osteo or rheumatoid arthritis). The year that the trial was conducted was not included in the logistic regression model because this variable was related to our independent measure of study design. Placebo trials were more likely to be published in the more distant past. This multiple logistic regression was conducted using the SAS system, specifically the logistic procedure with the "events/trials" syntax [31].

To evaluate the independent effect of the study design on withdrawals due to adverse effects and the reporting of adverse effects in each of four systems (cutaneous, central nervous system, gastrointestinal, or other systems), identical logistic regression models were used for each of the independent variables.

We considered *P* values less than 0.05 to indicate statistically significant findings.

## RESULTS

Characteristics of the trials and the types of patients being studied in the NSAID versus Placebo and the NSAID versus Comparative Drug groups are outlined in Table 3. Treatment arms evaluated in the NSAID versus Placebo sample tended to be less likely to evaluate diclofenac ( $\chi^2_2 = 2.95$ ; *P* = 0.23) and were published earlier (Wilcoxon *S* = 474.5; *P* = 0.0001) when compared with the treatment arms used in the NSAID versus Comparative Drug sample. No difference was found in the average duration of the trials. Exclusion criteria used in comparative trials tended to be more restrictive. Only 12 (48%) NSAID versus Placebo treatment arms listed a previous adverse effect attributed to an NSAID as an exclusion criteria compared with 22 (67%) NSAID versus Comparative Drug treatment arms ( $\chi^2_1 = 2.0$ ; *P* = 0.15). There was a trend for treatment arms in the NSAID versus Placebo sample to study rheumatoid

arthritis ( $\chi^2_1 = 2.92$ ; *P* = 0.09). The distribution of males and females and the average age of participants were similar between the groups.

### Efficacy Data

Twenty-five treatment arms representing an average of 58 patients each were evaluated in the NSAID versus Placebo setting. Thirty-three treatment arms representing an average of 75 patients each were evaluated in the NSAID versus Comparative Drug setting (Table 3).

Of those treatment arms specifying the number of patients who withdrew due to inefficacy, 144 (10.3%) of 1396 patients receiving active therapy in the NSAID versus Placebo group withdrew due to inefficacy compared with 182 (9.8%) of 1850 patients receiving an active therapy in the NSAID versus Comparative Drug sample.

We used a logistic regression model to adjust for the differences between groups with regards to the types of drugs used and whether the patients were diagnosed with osteo or rheumatoid arthritis (Table 4). Patients participating in the NSAID versus Placebo group were more likely to withdraw because they claimed the drug was not effective compared with patients participating in NSAID versus Comparative Drug trials (OR = 1.3; 95% confidence interval [CI], 1.0 to 1.6; *P* = 0.04).

Of the three drugs evaluated, indomethacin was found to be more effective than diclofenac or acetylsalicylic acid. Using indomethacin as the reference drug, patients were significantly more likely to leave trials because they considered the drug to be ineffective when they received either acetylsalicylic acid (OR = 2.3; 95% CI, 1.7 to 3.3, *P* = 0.0001) or diclofenac (OR = 2.2; 95% CI, 1.6 to 3.1; *P* = 0.0001).

### Adverse Events

One hundred fourteen (9.3%) of 1232 patients receiving active therapy in the NSAID versus Placebo setting reported an adverse drug effect serious enough to cause them to withdraw from their trial compared with 242 (13.0%) of 1862 patients who received an NSAID in the NSAID versus Comparative Drug setting.

Using a logistic regression model to adjust for differences in treatment arm characteristics, we evaluated withdrawals due to adverse effects and adjusted for the differences between the placebo and comparative groups in regards to the types of NSAID evaluated and whether the patients were diagnosed with osteo or rheumatoid arthritis (Table 5). We found that withdrawals due to adverse effects were greater when the NSAID was being given in the comparative drug setting (OR = 1.5; 95% CI, 1.1 to 1.9; *P* = 0.002). Patients receiving indomethacin (OR = 1.7; 95% CI, 1.3 to 2.2; *P* = 0.0003) or acetylsalicylic acid (OR = 2.7; 95% CI, 1.9 to

**TABLE 3. Characteristics of the treatment arms in the two study design groups**

|   | Group                |                          | P value | Weighted P value <sup>a</sup> | Test                   |
|---|----------------------|--------------------------|---------|-------------------------------|------------------------|
|   | NSAID versus Placebo | NSAID versus Comparative |         |                               |                        |
| NSAID evaluated by Tx arm <sup>b,c</sup>        |                      |                          |         |                               |                        |
| ASA   | 6 (24%)              | 8 (24%)                  | 0.23    | 0.001                         | χ <sup>2</sup>         |
| Diclofenac                                      | 8 (32%)              | 17 (52%)                 |         |                               |                        |
| Indomethacin                                    | 11 (44%)             | 8 (24%)                  |         |                               |                        |
| Number of Patients per Tx arm <sup>b</sup>      |                      |                          |         |                               |                        |
| Average   | 57.9                 | 75.4                     | 0.22    | N/A                           | t test                 |
| Arthritis type studied in Tx arm <sup>b,c</sup> |                      |                          |         |                               |                        |
| Osteo   | 8 (32%)              | 18 (55%)                 | 0.09    | 0.22                          | χ <sup>2</sup>         |
| Rheumatoid                                      | 17 (68%)             | 15 (45%)                 |         |                               |                        |
| Year of publication                             |                      |                          |         |                               |                        |
| Median  | 1983                 | 1989                     | 0.0001  | N/A                           | Wilcoxon rank sum test |
| Duration of trial (weeks)                       |                      |                          |         |                               |                        |
| Average   | 9.7                  | 9.9                      | 0.96    | 0.47                          | t test                 |
| Exclusion due to previous NSAID adverse effect  | 12 (48%)             | 22 (67%)                 | 0.15    | N/A                           | t test                 |
| Proportion of males in Tx arm <sup>b</sup>      |                      |                          |         |                               |                        |
| Average   | 0.26                 | 0.29                     | 0.58    | 0.5                           | t test                 |
| Mean age of subjects in Tx arm <sup>b</sup>     |                      |                          |         |                               |                        |
| Average   | 56.9                 | 58.4                     | 0.42    | 0.28                          | t test                 |

<sup>a</sup>Weighted by the number of subjects in each treatment arm.  
<sup>b</sup>Treatment arms were used as the unit of observation because some trials analyzed more than one of the three drugs of interest or different formulations of the same drug.  
<sup>c</sup>Numbers are counts of treatment arms with proportion of group in parentheses.  
*Abbreviation:* NSAID; nonsteroidal antiinflammatory drug.

3.7; P = 0.0001) were more likely than those receiving diclofenac to leave a trial as a result of an adverse effect.

Reporting of adverse effects that were not necessarily severe enough to lead to withdrawal followed a similar pattern. Percentages of patients who reported an adverse effect in each of the four systems evaluated (central nervous sys-

tem, cutaneous, gastrointestinal, or other) by type of study design and NSAID are outlined in Figure 2a, b, c, and d. Using our regression model as outlined in Table 6, we found that cutaneous (OR = 4.2; 95% CI, 1.7 to 9.9; P = 0.001), gastrointestinal (OR = 1.6; 95% CI, 1.3 to 2.0; P = 0.0001), and other adverse effects (OR = 5.3; 95% CI, 3.8 to 7.4; P =

**TABLE 4. Results of multiple logistic regression model to evaluate the effect of the study design, controlling for the NSAID evaluated and type of arthritis, on withdrawals due to inefficacy**

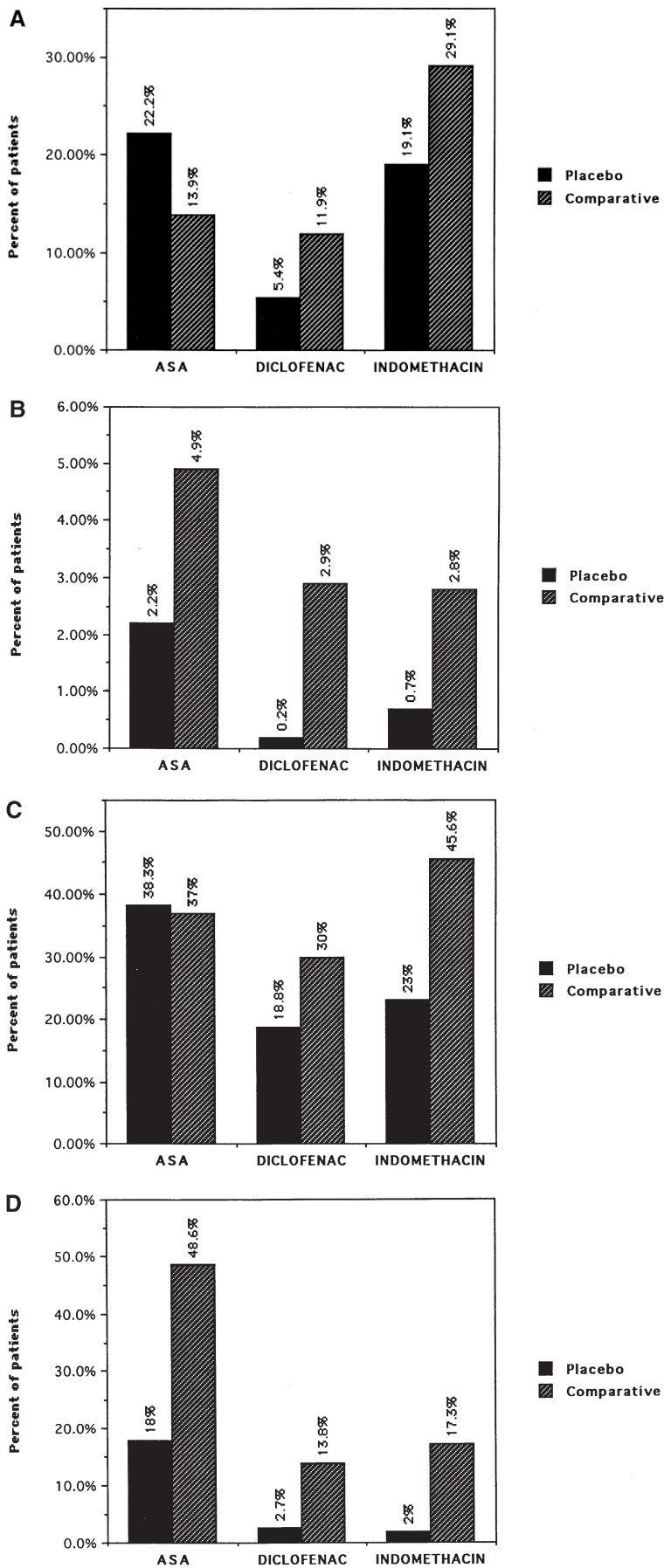
| Variable               | Odds ratio     | 95% CI       | P value |
|------------------------|----------------|--------------|---------|
| Study design           |                |              |         |
| Placebo control trial  | 1.3            | 1.0 to 1.6   | 0.04    |
| Comparative drug trial | 1 <sup>a</sup> |              |         |
| NSAID evaluated        |                |              |         |
| ASA                    | 2.3            | 1.7 to 3.3   | 0.0001  |
| Diclofenac             | 2.2            | 1.6 to 3.1   | 0.0001  |
| Indomethacin           | 1 <sup>a</sup> |              |         |
| Arthritis type         |                |              |         |
| Osteoarthritis         | 0.20           | 0.14 to 0.29 | 0.0001  |
| Rheumatoid             | 1 <sup>a</sup> |              |         |

<sup>a</sup>Reference category for calculating odds ratio.  
*Abbreviations:* CI, Confidence interval; NSAID, nonsteroidal antiinflammatory drug; ASA, acetylsalicylic acid.

**TABLE 5. Results of multiple logistic regression model to evaluate the effect of the study design, controlling for the NSAID evaluated and type of arthritis, on withdrawals due to adverse effects**

| Variable               | Odds ratio     | 95% CI     | P value |
|------------------------|----------------|------------|---------|
| Study design           |                |            |         |
| Comparative drug trial | 1.5            | 1.1 to 1.9 | 0.002   |
| Placebo control trial  | 1 <sup>a</sup> |            |         |
| NSAID evaluated        |                |            |         |
| ASA                    | 2.7            | 1.9 to 3.7 | 0.0001  |
| Indomethacin           | 1.7            | 1.3 to 2.2 | 0.0003  |
| Diclofenac             | 1 <sup>a</sup> |            |         |
| Arthritis type         |                |            |         |
| Osteoarthritis         | 1.5            | 1.1 to 1.9 | 0.003   |
| Rheumatoid             | 1 <sup>a</sup> |            |         |

<sup>a</sup>Reference category for calculating odds ratio.  
*Abbreviations:* CI, confidence interval; NSAID, nonsteroidal antiinflammatory drug; ASA, acetylsalicylic acid.



**FIGURE 2.** (a) Central nervous system adverse effects. The percentage of patients reporting a central nervous system adverse effect when either acetylsalicylic acid, diclofenac or indomethacin were administered in the setting of a placebo or a comparative trial. (b) Cutaneous adverse effects. The percentage of patients reporting a cutaneous adverse effect when either acetylsalicylic acid, diclofenac or indomethacin were administered in the setting of a placebo or a comparative trial. (c) Gastrointestinal adverse effects. The percentage of patients reporting a gastrointestinal adverse effect when either acetylsalicylic acid, diclofenac or indomethacin were administered in the setting of a placebo or a comparative trial. (d) Other adverse effects. The percentage of patients reporting other types of adverse effect when either acetylsalicylic acid, diclofenac or indomethacin were administered in the setting of a placebo or a comparative trial. *Note:* A patient may have reported multiple adverse effects; this could not be accounted for in our study.

**TABLE 6. Results of four multiple logistic regression models to evaluate the effect of the study design, controlling for the NSAID evaluated and type of arthritis, on the reporting of adverse effects by body systems**

| Variable               | Odds ratio     | 95% CI        | P value |
|------------------------|----------------|---------------|---------|
| Central nervous system |                |               |         |
| Study design           |                |               |         |
| Comparative drug trial | 1.3            | 0.98 to 1.6   | 0.08    |
| Placebo control trial  | 1 <sup>a</sup> |               |         |
| NSAID evaluated        |                |               |         |
| ASA                    | 0.49           | 0.36 to 0.66  | 0.0001  |
| Diclofenac             | 0.32           | 0.24 to 0.43  | 0.0001  |
| Indomethacin           | 1 <sup>a</sup> |               |         |
| Arthritis type         |                |               |         |
| Osteoarthritis         | 0.56           | 0.42 to 0.75  | 0.0001  |
| Rheumatoid             | 1 <sup>a</sup> |               |         |
| Cutaneous              |                |               |         |
| Study design           |                |               |         |
| Comparative drug trial | 4.2            | 1.7 to 9.9    | 0.001   |
| Placebo control trial  | 1 <sup>a</sup> |               |         |
| NSAID evaluated        |                |               |         |
| ASA                    | 1.5            | 0.72 to 3.1   | 0.28    |
| Diclofenac             | 1.1            | 0.51 to 2.4   | 0.78    |
| Indomethacin           | 1 <sup>a</sup> |               |         |
| Arthritis type         |                |               |         |
| Osteoarthritis         | 0.27           | 0.11 to 0.68  | 0.005   |
| Rheumatoid             | 1 <sup>a</sup> |               |         |
| Gastrointestinal       |                |               |         |
| Study design           |                |               |         |
| Comparative drug trial | 1.6            | 1.3 to 2.0    | 0.0001  |
| Placebo control trial  | 1 <sup>a</sup> |               |         |
| NSAID evaluated        |                |               |         |
| ASA                    | 1.0            | 0.81 to 1.3   | 0.79    |
| Diclofenac             | 0.53           | 0.43 to 0.66  | 0.0001  |
| Indomethacin           | 1 <sup>a</sup> |               |         |
| Arthritis type         |                |               |         |
| Osteoarthritis         | 1.2            | 1.0 to 1.5    | 0.06    |
| Rheumatoid             | 1 <sup>a</sup> |               |         |
| Other                  |                |               |         |
| Study design           |                |               |         |
| Comparative drug trial | 5.3            | 3.8 to 7.4    | 0.0001  |
| Placebo control trial  | 1 <sup>a</sup> |               |         |
| NSAID evaluated        |                |               |         |
| Diclofenac             | 0.12           | 0.083 to 0.17 | 0.0001  |
| Indomethacin           | 0.18           | 0.13 to 0.26  | 0.0001  |
| ASA                    | 1 <sup>a</sup> |               |         |
| Arthritis type         |                |               |         |
| Osteoarthritis         | 1.6            | 1.1 to 2.2    | 0.009   |
| Rheumatoid             | 1 <sup>a</sup> |               |         |

<sup>a</sup>Reference category for calculating odds ratio.

Abbreviations: CI, confidence interval; NSAID, nonsteroidal anti-inflammatory drug; ASA, acetylsalicylic acid.

0.0001) were significantly more likely to be reported by patients receiving an active therapy in a comparative trial setting compared to patients who received the same active therapy in the setting of a placebo trial. While the reports of central nervous system adverse effects were more frequent in the comparative trials, this difference was not significant (OR = 1.3; 95% CI, 0.98 to 1.6; P = 0.08).

## DISCUSSION

### Efficacy

We demonstrate that the study design, that is whether or not a patient is participating in a study that includes a placebo group, may influence the outcome of an RCT. Patients receiving the same NSAID are less likely to rate their therapy as being efficacious when it is administered in the setting of a placebo trial compared with when the same drug is administered in a comparative drug setting.

Gotzsche [18] conducted a similar meta-analysis of NSAID trials to evaluate the influence of including a placebo group in a clinical trial on the patient's expectations of the effectiveness of the active therapy. In comparison to our study, Gotzsche included only trials published up to 1988, evaluated patients with rheumatoid arthritis, and used the tender joint counts as the outcome measure. Gotzsche found that the number of tender joints decreased by a slightly greater amount in patients receiving an NSAID in a comparative trial than when patients received the same NSAID in a placebo trial. The small difference in joint count reported by Gotzsche between those receiving active treatment in the placebo and the comparative trial setting was not statistically significant.

### Adverse Effects

We demonstrate that the study design, in this case whether or not the patient receives an NSAID as part of a placebo or a comparative trial, may impact on the number of patients who withdraw from the study due to an adverse effect. Our results suggest that patient withdrawals due to an adverse effect were less likely to occur if the active treatment was being administered in a placebo control trial. In this setting, patients may have been more reluctant to consider their adverse effect substantial, presumably because they know that they may be receiving an inert therapy. Conversely, patients participating in a comparative drug trial, in which we are assuming they know they were receiving some form of active therapy, were more likely to withdraw because they thought their therapy might be toxic.

Likewise, when the reporting of adverse effects that were not necessarily severe enough to lead to study withdrawal were evaluated, patients receiving an active therapy in a placebo control trial reported fewer adverse effects for each body system. This suggests that patients' reports of adverse effects are influenced by their knowledge of the likelihood of receiving a placebo therapy.

### Limitations

The results we have obtained likely underestimate the influence that study design has on patient ratings of their drug treatment. Because we evaluated a large number of trials that were not designed to measure how study design may influence trial outcomes, we could not control for a number

of factors that may be important. For example, standard information was not reported on patients' understanding of their participation in a placebo control versus a comparative trial. Bergmann *et al.* [26] found that when patients gave their informed consent the analgesic effect of medications changed. They report that informed consent increased the efficacy of both naproxen and placebo and decreased the difference between the efficacy of these two medications. Owing to the work of ethics and research review boards, informed consent is now required from study participants. Therefore patients now participating in clinical trials will better understand the types of treatment they may receive.

Our findings may underestimate the true difference in adverse effects experienced in the placebo and comparative drug trials. The trials we evaluated were not designed to measure the influence of study design on the type of patient selected for inclusion in the trial. For example, we could measure whether our comparative drug sample contained patients who may be less likely to develop an NSAID related adverse effect. While not significant, more comparative trials in our study excluded patients who had previously experienced an NSAID-related adverse drug effect. This suggests that investigators may be more cautious about including patients in a comparative drug trial, in which they know that the patient will receive some form of active therapy. There are likely many other important factors that may differ between placebo control and comparative trials that we have not measured.

In general, the reporting of trial outcomes in our sample was inconsistent. For example, not all trials reported withdrawals due to inefficacy or adverse effects. Of those trials that did report withdrawals, some reported withdrawals due to inefficacy, others reported withdrawals due to adverse effects, and still others reported a category that combined these two reasons. Previous work [32] has shown that the quality of randomized control trials evaluating NSAIDs for the treatment of arthritis, as reflected by quality scores, is relatively low. Our findings highlight the need for standard reporting of outcomes in randomized control trials [33].

### Practical Applications

Randomized control trials are designed to decrease the likelihood of making the mistake of calling a therapy efficacious when it is not, or calling a therapy safe when it is not. Choosing an appropriate study design for clinical trials is key, and based on our research findings, one that should be determined by the major outcome of interest for the investigator. Our findings suggest that even when a randomized placebo control trial is feasible, it may not always be the best study design for the evaluation of both efficacy and adverse effects. If efficacy is the outcome of interest, placebo control trials may be the most appropriate study design because patients participating in placebo drug trials are more conservative in rating a drug as being effective. If adverse

effects are the major outcome of interest, comparative trials may be the preferred design because patients participating in a comparative drug trial are more liberal in reporting adverse drug effects.

Additionally, our results highlight the need to systematically identify and evaluate the factors related to the design of placebo control trials that may influence the final outcome. Once these factors that may change the way patients report the efficacy and adverse effects of medications are understood, standard methods should be developed to control for them in the design of future placebo control clinical trials [19].

### CONCLUSION

The beliefs and expectations of patients are among the more important factors responsible for the placebo effect [16,34]. We demonstrate how a change to the study design, including or not including a placebo group, may influence patients' beliefs and expectations about the probable efficacy and the toxicity of the drug they are receiving. Our results suggest that the placebo effect may change the way patients rate the performance of the drug in the trial and support the need to revisit the way that placebos affect the outcomes of clinical trials.

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## APPENDIX 1. Raw data

| Treatment arm <sup>a</sup> | Design group | Drug | Number of patients | Type of arthritis | Year | Duration (weeks) | Proportion Males | Mean Age | Withdrawals due to |    | Reports of Adverse Effects |    |     |       |
|----------------------------|--------------|------|--------------------|-------------------|------|------------------|------------------|----------|--------------------|----|----------------------------|----|-----|-------|
|                            |              |      |                    |                   |      |                  |                  |          | Inefficacy         | AE | CNS                        | GI | Cut | Other |
| 1                          | pl           | asa  | 10                 | oa                | 1983 | 12               | 0.30             | 60.1     | 3                  | 1  | 4                          | 6  | 0   | 8     |
| 2                          | pl           | asa  | 105                | ra                | 1981 | 8                | 0.32             | 57       | 19                 | 10 | 13                         | 25 | 4   | 0     |
| 3                          | pl           | asa  | 65                 | ra                | 1983 | 12               | 0.28             | 52       | 12                 | 15 | 32                         | 36 | —   | —     |
| 4                          | pl           | asa  | 9                  | ra                | 1983 | 12               | 0.00             | 58       | 0                  | 5  | 4                          | 5  | 0   | 4     |
| 5                          | pl           | asa  | 7                  | ra                | 1983 | 12               | 0.14             | 50       | 1                  | 3  | 0                          | 3  | 0   | 1     |
| 6                          | pl           | asa  | 52                 | ra                | 1986 | 6                | 0.44             | 53       | —                  | —  | 2                          | 20 | 0   | 20    |
| 7                          | pl           | dic  | 68                 | oa                | 1985 | 2                | 0.00             | —        | 0                  | 5  | 1                          | 19 | 0   | 2     |
| 8                          | pl           | dic  | 208                | oa                | 1991 | 4                | 0.45             | 69.2     | 7                  | 10 | 8                          | 28 | 0   | 4     |
| 9                          | pl           | dic  | 45                 | oa                | 1993 | 104              | 0.38             | 63       | 3                  | 6  | —                          | —  | —   | —     |
| 10a                        | pl           | dic  | 62                 | ra                | 1976 | 4                | 0.15             | 55.1     | 5                  | 5  | 7                          | 15 | 0   | 1     |
| 11                         | pl           | dic  | 21                 | ra                | 1978 | 2                | —                | —        | 0                  | 1  | 1                          | 5  | 0   | 1     |
| 12                         | pl           | dic  | 89                 | ra                | 1986 | 6                | —                | —        | 27                 | 2  | 7                          | 17 | 1   | 4     |
| 13                         | pl           | dic  | 89                 | ra                | 1986 | 6                | —                | —        | 27                 | —  | —                          | —  | —   | —     |
| 14a                        | pl           | dic  | 75                 | ra                | 1986 | 10               | —                | —        | 19                 | —  | —                          | —  | —   | —     |
| 15                         | pl           | ind  | 75                 | oa                | 1977 | 4                | 0.45             | 53       | 1                  | 10 | 2                          | 13 | 1   | 1     |
| 16a                        | pl           | ind  | 50                 | oa                | 1983 | 3                | —                | —        | 2                  | 5  | —                          | —  | —   | —     |
| 16b                        | pl           | ind  | 49                 | oa                | 1983 | 3                | —                | —        | 2                  | 6  | —                          | —  | —   | —     |
| 16c                        | pl           | ind  | 47                 | oa                | 1983 | 3                | —                | —        | 0                  | 1  | —                          | —  | —   | —     |
| 17                         | pl           | ind  | 14                 | ra                | 1966 | 4                | —                | —        | 0                  | 1  | 6                          | 8  | 0   | 0     |
| 18                         | pl           | ind  | 71                 | ra                | 1967 | 12               | —                | —        | 5                  | 10 | —                          | —  | —   | —     |
| 10b                        | pl           | ind  | 63                 | ra                | 1976 | 4                | 0.21             | 55.5     | 6                  | 8  | 21                         | 14 | 0   | 2     |
| 19                         | pl           | ind  | 10                 | ra                | 1980 | 3                | —                | —        | 2                  | 0  | —                          | —  | —   | —     |
| 20a                        | pl           | ind  | 56                 | ra                | 1983 | 2                | —                | —        | 0                  | 4  | —                          | —  | —   | —     |
| 20b                        | pl           | ind  | 55                 | ra                | 1983 | 2                | —                | —        | 2                  | 4  | —                          | —  | —   | —     |
| 20c                        | pl           | ind  | 53                 | ra                | 1983 | 2                | —                | —        | 1                  | 2  | —                          | —  | —   | —     |
| 21                         | cp           | asa  | 16                 | oa                | 1977 | 10               | —                | —        | 1                  | 4  | —                          | 10 | —   | —     |
| 22                         | cp           | asa  | 20                 | oa                | 1987 | 26               | —                | —        | —                  | 2  | 2                          | 15 | 1   | 18    |
| 23                         | cp           | asa  | 31                 | ra                | 1974 | 26               | —                | —        | —                  | —  | 20                         | 15 | —   | —     |
| 24a                        | cp           | asa  | 47                 | ra                | 1975 | 2                | —                | —        | 6                  | 13 | —                          | —  | —   | —     |
| 14b                        | cp           | asa  | 290                | ra                | 1986 | 12               | —                | —        | 40                 | —  | —                          | —  | —   | —     |
| 25                         | cp           | asa  | 136                | ra                | 1987 | 24               | —                | —        | 30                 | 13 | 16                         | 58 | 11  | 108   |
| 26                         | cp           | asa  | 108                | ra                | 1989 | 12               | 0.17             | 54.8     | 13                 | 16 | 6                          | 15 | 0   | 7     |
| 27a                        | cp           | asa  | 100                | ra                | 1989 | 12               | 0.29             | 53.8     | —                  | 23 | 11                         | 39 | 6   | 44    |
| 28                         | cp           | dic  | 13                 | oa                | 1987 | 4                | 0.08             | 63       | 0                  | 0  | 0                          | 2  | 0   | 2     |
| 29                         | cp           | dic  | 46                 | oa                | 1988 | 8                | 0.33             | 70.7     | 0                  | 12 | 5                          | 28 | 0   | 0     |
| 30                         | cp           | dic  | 47                 | oa                | 1989 | 8                | 0.36             | 61       | 0                  | 3  | —                          | —  | —   | —     |
| 31                         | cp           | dic  | 121                | oa                | 1990 | 6                | —                | —        | —                  | 14 | 10                         | 35 | —   | 15    |
| 32                         | cp           | dic  | 40                 | oa                | 1990 | 12               | 0.28             | 58.3     | —                  | 7  | 9                          | 18 | 1   | —     |
| 33                         | cp           | dic  | 87                 | oa                | 1992 | 8                | 0.14             | 59       | —                  | 4  | —                          | —  | —   | —     |
| 34                         | cp           | dic  | 43                 | oa                | 1992 | 6                | 0.42             | 57.2     | 2                  | 3  | 1                          | 3  | 0   | 4     |
| 35                         | cp           | dic  | 32                 | oa                | 1992 | 4                | —                | —        | 0                  | 3  | 7                          | 4  | 1   | 3     |
| 36                         | cp           | dic  | 31                 | oa                | 1992 | 6                | 0.23             | 57       | 1                  | 2  | 2                          | 10 | 0   | 3     |
| 37                         | cp           | dic  | 68                 | oa                | 1993 | 12               | 0.34             | 61.5     | 0                  | 11 | —                          | 24 | —   | 1     |
| 38                         | cp           | dic  | 62                 | oa                | 1993 | 12               | 0.19             | 62.8     | 0                  | 13 | —                          | 25 | —   | —     |
| 39                         | cp           | dic  | 50                 | oa                | 1993 | 12               | 0.28             | 62.7     | 2                  | 11 | 18                         | 34 | 2   | 31    |
| 14c                        | cp           | dic  | 304                | ra                | 1986 | 12               | —                | —        | 45                 | —  | —                          | —  | —   | —     |
| 40                         | cp           | dic  | 15                 | ra                | 1988 | 4                | 0.20             | —        | 1                  | 2  | 0                          | 0  | 2   | 0     |
| 27c                        | cp           | dic  | 111                | ra                | 1989 | 12               | 0.35             | 53.3     | —                  | 3  | 6                          | 18 | 4   | 9     |
| 27b                        | cp           | dic  | 94                 | ra                | 1989 | 12               | 0.39             | 52.9     | —                  | 6  | 13                         | 21 | 4   | 18    |
| 41                         | cp           | dic  | 55                 | ra                | 1993 | 12               | 0.35             | 57.5     | 4                  | 1  | —                          | 12 | —   | —     |
| 42                         | cp           | ind  | 18                 | oa                | 1987 | 4                | 0.39             | 65       | 0                  | 1  | 2                          | 5  | 0   | 3     |
| 43                         | cp           | ind  | 73                 | oa                | 1989 | 8                | —                | —        | 4                  | 11 | —                          | —  | —   | —     |
| 44                         | cp           | ind  | 33                 | oa                | 1991 | 6                | 1.00             | 53.8     | —                  | 4  | 9                          | 10 | 0   | 6     |
| 45                         | cp           | ind  | 99                 | oa                | 1992 | 6                | 0.40             | 56.4     | 7                  | 20 | 15                         | 38 | 0   | —     |
| 24b                        | cp           | ind  | 48                 | ra                | 1975 | 2                | —                | —        | 4                  | 5  | —                          | —  | —   | —     |
| 46                         | cp           | ind  | 93                 | ra                | 1983 | 8                | 0.18             | —        | 5                  | 16 | 25                         | 35 | 4   | 6     |
| 47                         | cp           | ind  | 70                 | ra                | 1988 | 12               | 0.34             | 52.6     | 13                 | 4  | 31                         | 19 | 4   | 11    |
| 48                         | cp           | ind  | 86                 | ra                | 1991 | 6                | 0.27             | 55.6     | 4                  | 15 | 34                         | 75 | 3   | 26    |

<sup>a</sup>Some trials analyzed more than one drug or different formulations of a drug. They are given the same number but are differentiated with a letter.